

# Momentum: Out in Front



Singled out amongst its peers, Momentum is recognized as a “Super High-Touch” agency boutique broker dealer. Market expertise, technology, and the skill to use them go hand in hand at Momentum. **Garrett Nenner, Managing Director - Global Markets for Momentum** is consistently asked to offer our viewpoint and his expertise as a Market Structure and Execution Expert to the most significant industry conferences and distinguished attendees on a global basis – in locations such as the US, LatAm, Europe and the Middle East, Fund Managers and our competitors attend to hear him speak. Mr. Nenner has been invited to attend these foremost global industry events as Key Note, Featured Speaker, Expert Moderator, and Noted Panelist - requested as an authority participant with respect to: Quantitative and Fundamental Strategy Implementation, Market Access & Liquidity Sourcing in Order and Strategy Execution, Slippage and Transaction Cost Management & Analysis, Risk Management, trading technologies, EMS/OMS, Operations, and Political & Regulatory Impact across trading strategies.

If you would like to speak with Mr. Nenner or have him present to your team regarding any of the topics listed below, we would be glad to arrange it - in your office or ours. Should you be interested in attending any of the upcoming events where he is speaking, please ask.

Momentum, your educated partner in execution.

**For Conference Schedule: 2011  
&  
Previous Appearances: 2010**

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## Conference Schedule: 2011

### Featured Presenter

- Quant Invest 2011 Europe - Paris

Attendees: European, Middle Eastern & Asian Asset Managers, Traders and CIO/CTO's  
Paris, France – November 30 – December 2, 2011

Assessing the impact of High Frequency Trading on the wider trading environment

- What can more traditional managers learn from High Frequency Trading?
  - Is there a fundamental difference between speed and alpha
  - Does HFT really provide liquidity and could it be beneficial to investors and managers alike?
  - Does HFT carry any systematic risk and is there a danger of the market collapsing as collateral damage?

### Conference Advisor, Featured Guest Speaker & Panelist:

- Buy-Side North American Summit 2011

Attendees: Fundamental & Alternative Asset Manager PM's, Traders and CIO/CTO's  
New York, NY - October 4, 2011

- Study: How to trade your non-latency strategy in a high frequency trading environment
  - Understanding and selecting your execution venues
  - Managing your broker supplied Algorithms to compete
  - Knowing your competition improves your results – what you are up against
- Evaluating the impact of HFT: An opportunity or threat for the buy-side?

### Featured Expert Panelist: TradeTech West

Attendees: Fundamental and Alternative Fund Manager PM's, Buy/Sell side Traders, CIO's, CTO's  
San Francisco, CA – September 28-29, 2011

- Panel 1 - "Increasing Due Diligence in the Broker Selection Process – Requirements including Order Routing Practices"
- Panel 2 - "The Future of High-Touch Trading: How are Sell Side Cash and Block Trading Desks Maintaining their Competitive Edge"

### Market Structure Expert - Panel Moderator: Toronto Financial Information Summit 2011

Attendees: Fundamental and Alternative Fund Manager PM's, Buy/Sell side Traders, CIO's, CTO's  
Toronto, Ontario, Canada – July 07, 2011

- Canada's Exchange Factor – Assessing the Impact of Cross Border Exchange M&A
  - Fragmentation and Competition: Setting Standards
  - The Effects of HFT
  - Assessing the Impact of cross-border exchange M&A
  - What added value can exchanges and alternative trading systems bring to differentiate themselves in a highly competitive market?



## Conference Schedule: 2011 (cont'd)

### Featured Expert Panelist & Panel Moderator: Quant Invest Chicago 2011

Attendees: Quantitative and Fundamental Fund Manager Traders, CIO's and CTO's  
Chicago, IL – June 27-29, 2011

- “Identifying and Addressing Risk Factors in Your Business Model”
  - Pre-trade Risk Management: Controls on Trading Activity and Preventing Erroneous Transactions
  - Customizing risk models beyond basic global settings
  - Analyzing at-trade and post-trade risk
- “The Changing Identities of DMA and other Access Options”

### Featured Guest Speaker & Panelist: Trade Tech Europe 2011

Attendees: Global Broker Dealers, Local and Global Fund Managers, CEO's, CIO's, CTO's , Buy/Sell Side Traders, Trading Technology Firms throughout Europe

Excel Center, London, UK - April 10-12, 2011

- “High Frequency Trading Strategies In the US: What is the Truth & How is it Different from Public Opinion” – How It Affects your Non-Latency Sensitive Strategy
- “Assessing how HFT Has Affected Pricing Fluctuation, Your Trading Strategy & Investment”

### Keynote & Featured Panelist: Latin America: Buy-Side Technology Summit 2011

Attendees: Latin American, North American, European and Asian Investment Portfolio Managers, CTO's and Traders

Sao Paulo, Brazil - March 30 -31, 2011

- Opening Keynote Panel: “Traditional Hedge Strategies vs. High Frequency Trading in the 21st Century: Global World – Local Decisions – ‘Moral and not only’ implications of HFT – the changing understanding of what is “in the public interest”
- “Examining Real-Time Transaction Cost Analysis & Market Impact to Ensure High Profitability of Your Portfolio”

### Expert Panelist: Trading and Trading Technology: Trade Tech USA 2011

Attendees: Global Broker Dealers, Local and Global Fund Managers, CEO's, CIO's, CTO's and Buy/Sell side Traders, Trading Technology Firms throughout the US and Europe

Chelsea Piers, New York, NY - February 29 – March 2, 2011

Should Latency Really Matter to the Buy and Sell Side Trader?

Topics Presented:

- Examining where current regulation stands on latency and latency-reducing technologies
- Understanding the latency measures of your sell side partner's technology and systems; how does that impact your performance?
- Identifying which application hosting or low latency solutions can empower your trading – or not



# Past Conference Appearances: 2010



## **Featured Panelist: Global Markets Summit**

**Attendees: US and Global Fund Managers, CEO's, CTO's, CIO's, PM's, Buy/Sell side Traders, US Politicians, SEC, Finra and Exchange Regulatory Bodies, Technology Providers**  
**Waldorf Astoria, New York, NY - December 9, 2010**

Topics Presented:

- Evolution of the Equity Execution
- Design and Access – Sourcing Liquidity in Today's Environment

## **Featured Speaker: 10<sup>th</sup> Annual Waters USA Conference**

**Attendees: Fundamental and Alternative Fund Manager PM's, CIO's, CTO's and Trading Desk Technologists**  
**New York, NY - December 6, 2010**

Panel Topics Presented:

- HFT and its Effects on Global Market Regulation and Infrastructure – Risks, Costs, Critical Components, Trading
- Risk Management in Real Time

## **Featured Speaker: Trading World USA 2010**

**Attendees: Alternative/Quantitative Fund Managers, Buy/Sell side Traders, Brokers Exchanges and Technology Providers**  
**New York, NY - December 6th-8<sup>th</sup>, 2010**

Topic Presented:

- Using Transaction Cost Analysis to Achieve Best Execution

## **Featured Expert/ Moderator: Best Execution USA 2010**

**Attendees: Fundamental and Alternative Fund Manager PM's, Buy/Sell side Traders, CIO's, CTO's**  
**New York, NY – October 6, 2010**

### **Buy-Side Roundtable: Achieving Best Execution in Today's Market**

- Assessing how the buy side defines and measures best execution
- How will the regulatory changes impact the buy side in achieving best execution?
- Evaluating what the buy side expect from the sell side & IT providers
- How equipped is the buy side from a technology standpoint?
- Pros & cons of developing a multi-asset class trading strategy
- Ensuring effective liquidity access

## **Featured Panelist: Next Generation Algorithmic Trading Strategies Summit**

**Attendees: Alternative/Quantitative Fund Managers, Buy/Sell side Traders, Brokers Exchanges and Technology Providers**  
**New York, NY – August 27, 2010**

Topic Presented:

- Electronic Trading and Financial Reform: What New and Upcoming Regulatory Changes Mean for the Future of Your Desk